



1.16.09

yfw ✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/592,020
Applicant : Ian Rossel Caple Innes
Filed : September 7, 2006
TC/A.U. : 3694
Examiner : Mary M. Gregg

Confirmation No.: 6997

Title : Arrangements For Deriving Financial
Benefits From Equity Owned In Property

Docket No. : SPR10150P00100US
Customer No. : 32116

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.97, the art identified on the attached form and other matters discussed below may be helpful to the Patent Office in its examination of the application identified above.

The order of listing of the art on the attached Form PTO/SB/08A should not be construed as an indication of the importance of the listed art.

The Patent and Trademark Office Examiner is requested to review the art and determine the extent of the materiality of the disclosures thereof with respect to the patentability of the subject invention. It is expected that the Patent and Trademark Office Examiner will independently conduct a complete search for relevant prior art.

No inference should be drawn and no representation is made or intended: (a) that a search has been made, or if made, was complete; (b) that the art on the attached

01/16/2009 SSITHIB1 00000020 10592020

01 FC:1806

180.00 OP

list presents a comprehensive investigation of the prior art; or (c) that art no more pertinent than that is in existence. [See 37 C.F.R. §1.97(g)]

Citation of any art herein is not to be construed as an admission: (a) that the art disclosure is, or is considered to be, necessarily within the invention field of endeavor, pertinent to the instant invention, or equivalent to the instant invention; (b) that the art disclosure is, or is considered to be, necessarily prior in time to a particular date which may be relevant in the instant patent application; (c) that the art disclosure is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); (d) that the art disclosure is otherwise necessarily prior art with respect to the instant invention and application; and/or (e) that the information, protocols, results and the like reported by third parties are accurate or enabling. [See 37 C.F.R. §1.97(g)]

No inference should be drawn that the discussion of any art herein is a discussion of each and every feature disclosed therein.

Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosures of any document or other art, including the disclosures of the art cited herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

Respectfully submitted,

By


Paul M. Odell, Reg. No. 28,332

WOOD, PHILLIPS, KATZ, CLARK & MORTIMER
500 West Madison Street, Suite 3800
Chicago, Illinois 60661
312/876-1800
January 15, 2009



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/592,020
Applicant : Ian Rossel Caple Innes
Filed : September 7, 2006
TC/A.U. : 3694
Examiner : Mary M. Gregg

Confirmation No.: 6997

Title : Arrangements For Deriving Financial
Benefits From Equity Owned In Property

Docket No. : SPR10150P00100US
Customer No. : 32116

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR THE FIRST
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a First Supplemental Information Disclosure Statement, including a copy of the listed document for filing in the above-identified patent application.

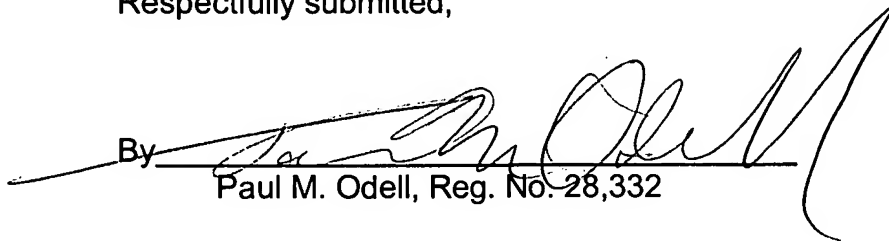
The First Supplemental Information Disclosure Statement is being filed after the period specified in 37 C.F.R. 1.97(b), but before the mailing date of any of the following:

- (1) a Final Action under §1.113;
- (2) a Notice of Allowance under §1.311, or
- (3) an Action that otherwise closes prosecution in the application.

Also enclosed is a check in the amount of \$180.00 to cover the fee set forth in 37 C.F.R. 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application under 37 C.F.R. §1.17, or credit any overpayment, to Deposit Account No. 23-0785.


Respectfully submitted,

By 
Paul M. Odell, Reg. No. 28,332

WOOD, PHILLIPS, KATZ, CLARK & MORTIMER
500 West Madison Street, Suite 3800
Chicago, Illinois 60661
312/876-1800

CERTIFICATE OF EXPRESS MAIL

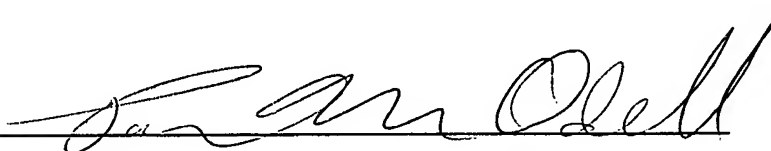
I hereby certify that this **Transmittal Letter For The First Supplemental Information Disclosure Statement**, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service on **January 15, 2009**, Express Mail Label No. EV 961934669 US.


PAUL M. ODELL



CERTIFICATE OF EXPRESS MAIL

I hereby certify that this **First Supplemental Information Disclosure Statement**, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service on **January 15, 2009**, Express Mail Label No. EV 961934669 US.



PAUL M. ODELL